

**No.: 1000/16/2014-W.F./Auction
Government of India
Ministry of Communications & IT
Department of Telecommunications
(WPF Wing).**

Dated 05-02-2015

Subject: Amendment No.3 to NIA for Spectrum Auction scheduled in February 2015.

The NIA dated 09-01-2015, for Auction of spectrum in the 2100MHz, 1800MHz, 900MHz & 800MHz bands is hereby amended and Amendment No.3 is enclosed.

S/d
Director(WF)

Auction of Spectrum in 2100MHz,1800MHz, 900MHz and 800MHz Bands

**Amendment No. 3
To
Notice Inviting Applications Dated 9th Jan 2015**

**Government of India
Ministry of Communications & Information Technology
Department of Telecommunications**

05th February 2015

1 Amendment No. 2– Sr. No. 1

Following are inserted after Para in Sr. No. 1 in Amendment No. 2

Details regarding 1800 MHz band Frequency Spots are revised for the following Service Areas in Annexure 2-A and is enclosed with this Amendment:

- Gujarat, Andhra Pradesh, Kerala & Punjab.

Details regarding 900 MHz band Frequency Spots are revised for Punjab Service Areas in Annexure 2-B and is enclosed with this Amendment.

Details regarding 800 MHz band Frequency Spots are as per revised Annexure 2-C and is enclosed with this Amendment.

2 Amendment No. 1

Amendment No. 1 issued on Jan 27th 2015 w.r.t. Page 8,9 Clause 1.4 of NIA - Timetable

The dates for **Mock Auction** in Table1-A in Amendment No. 1, Auction Timetables may now be read as **February 28th – March 1st, 2015**.

3 Clause: 2.1

Page 19, Para 3

For

In all partial allotment cases where the successful bidders are more than one, the post auction allocation of balance spectrum will be made to all the successful bidders, district wise simultaneously.

This Para is modified and may be read as:

In all partial allotment cases where the successful bidders are more than one, the post auction allocation of balance spectrum will be made to all the successful bidders' district wise based on auction date and rank.

4 Clause 2.1

Page 16, Below 2.1 c) III.

The Number of Blocks and spectrum put to auction for both Service Areas, **Assam and North East** may now be read as **11 Blocks and 13.75 MHz each** in Table for 800 MHz band below the above referred Clause of NIA.

5 Clause: 2.1

Page 19, Scenario 2

Following example is inserted to explain the Scenario 2.

Deferred Payment Option for pro-rata calculation of instalments in case of Partial Spectrum:

Full Amount Due	Rs. 8290.96
Upfront Payment	33%
Balance to be recovered	$8290.96 - (8290.96 * 33\%) = \text{Rs. } 5554.94$
Number of Installments	10
Interest Rate	10%
Assumed Date of first payment	25-03-2020
Moratorium	2 Years

Schedule of Payments		
Instalment	Due Date for payment	Amount (Rs. Crore)
Upfront payment	25-03-2020	2,736.02
1 st installment	26-03-2023	1,093.89
2 nd installment	26-03-2024	1,093.89
3 rd installment	26-03-2025	1,093.89
4 th installment	26-03-2026	1,093.89
5 th installment	26-03-2027	1,093.89
6 th installment	26-03-2028	1,093.89
7 th installment	26-03-2029	1,093.89
8 th installment	26-03-2030	1,093.89
9 th installment	26-03-2031	1,093.89

6 Clause: 4.2.1.1 Auction of Spectrum in 1800 MHz, 900 MHz and 800 MHz band

Page 37, Last Para

For

- For service areas where neither the Group Bidding Entity, nor any of its Associated Licensees are existing UAS/ CMTS/ UL(AS)/UL Licensees with access service authorization , an undertaking confirming that, if the Applicant is successful in winning spectrum in any such service area, it shall acquire a Unified Licence in advance of starting commercial operations. The Applicant shall be solely responsible for ensuring compliance with all eligibility criteria for the award of a Unified Licence including, but not limited to, the criteria required for FIPB approvals and security clearances.

This Para is modified and may be read as:

For service areas where neither the Group Bidding Entity, nor any of its Associated Licensees are existing UAS/ CMTS/ UL(AS)/UL Licensees with access service authorization , an undertaking confirming that, if the Applicant is successful in winning spectrum in any such service area, it shall acquire a Unified Licence either directly or through one of its Associated Licensees or through a wholly-owned subsidiary of the Applicant (“Nominated Unified License Applicant”) or a company nominated by the Applicant where the Applicant has a minimum of at least 26% (“New Entrant Nominated Unified License Applicant”);in advance of starting commercial operations. The Applicant shall be solely responsible for ensuring compliance with all eligibility criteria for the award of a Unified Licence including, but not limited to, the criteria required for FIPB approvals and security clearances.

7 Clause 5.2, Table 5-C

Page 52, Clause 5.2, Table 5-C.

Spectrum put to Auction for Service Areas, **Assam and North East** may now be read as **13.75MHz** each in **Table 5-C** for **800 MHz band** below the above referred Clause of NIA.

The **Number of Blocks** for Service Areas, **Assam and North East** may now be read as **11 each** in **Table 5-C** for **800 MHz band** below the above referred Clause of NIA.

8 Clause: 5.4.9: Length of Clock Rounds and Extension Periods

Page 77, 2nd Last Para

This Para is modified and may be read as:

Each Bidder is allocated an Extension Budget of 6 hours.

9 Clause: 5.5: Frequency Identification Stage

Page 79, Para with title “1800MHz & 900MHz”

At the end of the para the following is inserted:

In instances, where more than 1 contiguous blocks are available in 900MHz band, the block farthest away from the 800MHz band will be assigned first.

10 Clause 6: Application Instructions

Page 92, Para 2

This Para is modified and may be read as:

Applications must be submitted in hard copy, to be received between 10:00am (Indian Standard Time) on **10th February, 2015** and 5:00pm (Indian Standard Time) on **16th February, 2015**, during office hours, at the following address:

Joint Wireless Adviser
Department of Telecommunications
Room No. 604, Sanchar Bhawan,

20 Ashoka Road, New Delhi – 110 001.

Applications received after the prescribed timeline shall be summarily rejected and returned unopened.

Six sets of the Application need to be submitted in separate sealed covers. The first set shall be marked as "ORIGINAL" and shall be duly signed in ink on each page by the Applicant. The other five photo copies of the original set shall be marked as "COPY No. ___". Each page of the Application is to be numbered. In case of discrepancies between the copies and the Original, the Original shall prevail. However, the Government also reserves the right to summarily reject Applications in case of discrepancies between the copies and the Original. Name and address of the Bidder shall be displayed on each of the sealed covers. The Applicants should also submit scanned soft copy of the Original set on CD-ROM/ DVD/ **USB Drive/ External Hard Drive** in a single "pdf" document with pages in the same order as in the Original Application.

11 Clause: 8.3.1 Application Format for the 1800MHz, 900MHz and 800MHz band Auction

Page 102, Para, General Instructions c) 6b) 3 I (ii),

This Para is modified and may be read as:

Certificates for "Networth" and "Paid Up capital" as on 31-12-2014, signed by Company Secretary or Authorized Signatories, would be submitted by the Company, not later than 15-02-2015.

12 Clause: 8.3.1 Application Format for the 1800MHz, 900MHz and 800MHz band Auction

Page 103, Para General Instructions (c) 8

This Para is modified and may be read as:

*(Note: In case of step-down subsidiaries, the shareholding details at each level have to be submitted, in the same format as in point **6(b)** (above), clearly establishing the direct/ indirect shareholding of the Common Parent in the Associated Licensees.)*

13 Clause: 8.3.1.1

Page 107:

Annexure to the Application (Service Area-wise information where the Applicant is an Existing Licensee / New Entrant) *(Please refer to Section 3.2 (Associated Eligibility))*

The data (sought as per template enclosed in above referred Annexure) may please be provided for all spectrum bands viz. 2100MHz, 1800MHz, 900MHz and 800MHz in four separate instances.

14 Clause: 8.3.3

Format for an Undertaking in respect of Service Area(s) where neither the Applicant nor any of its Associated Licensees has an existing UASL/ CMTSL/ UL(AS)/Unified licence with authorisation for access service

Page 115, Para f)

This Para is modified and may be read as:

That in case an application for a Unified Licence with authorisation of access service in specified service area(s)/ authorisation of access service in specified service area(s) by the Nominated License Applicant /New Entrant Nominated Unified License Applicant has not been made within seven (7) days of the Applicant being declared a **Successful Bidder**, the Government shall have the right to curtail / revoke the spectrum;

15 Clause: 8.5.2

Page 124, Para 1

This Para is modified and may be read as:

Bidders experiencing technical problems while accessing the EAS either before or during a Round should contact the Auction Administrator as soon as possible. Bidders will be provided with a telephone number and an email address to contact the Auction Administrator. In the event of problems accessing the EAS, Bidders should not rely **ONLY** on email to communicate with the Auction Administrator.
