# No. 10-12/2012-CS-III Government of India Ministry of Communications Department of Telecommunications (Carrier Services-III) Sanchar Bhawan, 20, Ashoka Road, New Delhi-110001

Dated:0407.2017.

To

All Applicants for Infrastructure Provider Cat-I (IP-I) Registration.

Subject: Revised Guidelines for Registration of Infrastructure Providers – Category-I (IP-I).

Kindly find attached the following documents on the subject mentioned above, for information and further necessary action:-

- (i) Revised Guidelines for Registration of Infrastructure Providers Category-I (IP-I).
- (ii) Application form for IP-I Registration.
- (iii) Check list for the documents to be submitted along with application for IP-I Registration.
- (iv) Draft Registration Certificate for IP-I Registration.

This issues with the approval of Competent Authority.

Encl: As above.

(Subhash Chandra Kesarwani) Assistant Director General (CS-III)

### REVISED GUIDELINES FOR REGISTRATION OF INFRASTRUCTURE PROVIDERS-CATEGORY-I (IP-I)

The Infrastructure Providers Category-I are those Infrastructure Providers who provide assets such as dark fibres, Right of Way, duct space & tower.

The following are the guidelines for the registration of Infrastructure Providers Category-I to be called IP-I.

- 1. The applicant must be an Indian company, registered under the Companies Act, 1956/2013.
- 2. FDI up to 100%, with 49% under automatic route and beyond 49% through FIPB route subject to observance of conditions of IP-I Registration by the company as well as investors as notified by the Department of Telecommunications (DoT) from time to time.
- 3. Both direct and indirect foreign investment in the applicant company shall be counted.
- 4. The applicant company/Indian Promoters/Investing Companies including their holding companies shall comply with relevant provisions of extant FDI policy of the Government.
- 5. The company shall submit the application for registration in the prescribed form (Annexure-I). The documents may be submitted as per checklist at Annexure-II.
- 6. The applicant company shall make its own arrangement for Right of Way (ROW).
- 7. Change in the name of the applicant company or the registered IP-I, as the case may be, shall be permitted in terms of the provisions under the Companies Act, 1956.
- 8. The applicant company shall be informed of the approval or rejection of the application as far as practicable within 15 days of submission of the application.
- 9. The registration for IP-I shall be on non-exclusive basis without any restriction on the number of entrants.
- 10. The IP-I registered company shall provide dark fibres, Right of Way, duct space, towers on lease / rent out / sale basis to the licensees of telecom services on mutually agreed terms and conditions.
- 11. The IP-I registered company shall submit a copy of an agreement entered into with the telecom service providers to the DOT within 15 days of signing of such agreement.
- 12. IP-I registered company shall provide for the use of infrastructure in a non-discriminatory manner.
- 13. The applicant company will be issued a Registration Certificate for IP-I, a draft copy of which is attached herewith as Annexure-III. The terms & conditions of these guidelines as well as that of the Registration Certificate will be binding on the IP-I registered companies.
- 14. The applicant company shall pay a processing fee of Rs. 5,000/- (non-refundable) through digital payments like e-transfers/NEFT/RTGS/Debit Card/Credit Card, as per the process given in the user guide for NTRP at <a href="http://www.dot.gov.in/sites/default/files/2016">http://www.dot.gov.in/sites/default/files/2016</a> 12 14%20NTRP-TA-II.pdf.
- 15. The application is to be submitted to the Section Officer, CS-III, Department of Telecommunications, Room No.713, Sanchar Bhawan, 20, Ashoka Road, New Delhi-110 001.

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## GOVERNMENT OF INDIA MINISTRY OF COMMUNICATIONS DEPARTMENT OF TELECOMMUNICATIONS (CARRIER SERVICES CELL) SANCHAR BHAVAN, 20 ASHOKA ROAD, NEW DELHI-110 001.

REVISED APPLICATION FOR REGISTRATION OF INFRASTRUCTURE PROVIDER – CATEGORY-I (IP-I)

1.	Name of Applicant Company:			
2.	Complete postal address with Tele	ephone/FAX Nos /E-Mail		
	i) Corporate Office			*
			<u>.</u>	
	ii) Registered Office			
			· .	
3.	Address for correspondence with Telephone/FAX Nos./E-mail		•	
4.	Name of Authorised contact person, his designation, address and Telephone/FAX Nos./Email			_ 
5.	Details of payment of processing	fee (Refer para 14 of Guideline).		
	Certified copy of Certificate of Re brandum of Association to be attact be certified by the Company Sec			

Director duly authorised by the company)

7. Details of Promoters/Partners/Shareholde indicated.	er in the Company:	The Promoters to be
S.No. Name of Promoter / Partner	Indian / Foreign	Equity %age.
	· · · · · · · · · · · · · · · · · · ·	
(Complete break-up of 100% of equity must total equity shared among various shareholde equity must be separate.)		
8. Certified copy of approval of Government applicable if FDI is more than 49%) (Certification Auditor countersigned by Director duly authorises)	cate from Company	Secretary/ Statutory
9. Resolution of Board of Directors / o application is authorized signatory, to be attack	•	e person signing the
Certificate/Undertaking:  (I) I hereby certify that I have carefully reInfrastructure Provider-I and I undertake to therein.		
(II) I understand that this application if fo found with conditional compliance shall be sur		any respect and/or if
(III) I understand that all matters relating jurisdiction of courts in Delhi / New Delhi only.	g to the applicatio	n will be subject to
(IV) I understand that if at any time any averable obtaining the registration is found incorrect the granted thereto on the basis of such a application.	nen my application a	and the registration if
(V) I understand that in case it is decided to Telegraph Act, 1885 to Infrastructure Provider a later date, the said registration shall stand decided by the competent authority and the lapply for grant of specified licence/authorisatic conditions applicable for such license/authorisatic	Category-I (IP-I) ins cancelled after the nfrastructure Provid on at that point of t	tead of registration, at prescribed period as er (IP-I) shall have to
Date: Place:	Signature and Authorised (Company	Signatory

#### **CHECK-LIST**

### The Following documents should be submitted along with the application for IP-I Registration:

S.No	Particulars		
1.	Application form in prescribed format.		
	Corporate Office address/ Registered Office address given along with address for		
	correspondence with Telephone / FAX / Email and Name of Authorized contact person,		
	his designation, address and Telephone/FAX No./Email.		
2.	Processing fee as prescribed.		
3.	Certified copy of 'Certificate of Registration' along with AoA & MoA duly certified by		
	Company Secretary / Statutory Auditor and countersigned by Director duly authorized		
	by the Company.		
4.	a) Details of Promoters / Partners / Shareholders in the Company provided in the format		
	prescribed as given in the application form.		
	b) Equity details of Indian & Foreign (Certified by Company Secretary/Statutory		
	auditor and duly counter signed by Director duly authorised by the Company).		
	c) Certified copy of approval of Government of India is given for Foreign equity, if		
	Foreign equity is more than 49% (Copy of FIPB approval).		
5.	a) Resolution of Board of Director that the person signing the application is authorized		
	signatory. Such resolution should be signed with stamp by a Director but not by		
	authorized signatory himself clearly mentioning the name of the Director signing the		
	document.		
	b) Signature of the authorized signatory should be attested by any of the other Director		
	of the company or company Secretary as the case may be.		
6.	List of Board of Directors along with their nationality (It should be clearly indicated that		
	whether they are Resident Indian Citizen/NRI/Foreign Nationals).		

Dated: xx.xx.xxxx

## No. 10-xx/2xxx-CS-III Government of India Ministry of Communications Department of Telecommunications Sanchar Bhavan, 20, Ashoka Road New Delhi-110001

Registration Certificate No.: xxx/2xxx

#### Registration Certificate For Infrastructure Provider Category-I (IP-I)

This is to certify that M/S ----- with registered office at ------ is registered as Infrastructure Provider Category I (IP-I) to establish and maintain the assets such as Dark Fibres, Right of Way, Duct Space and Tower for the purpose to grant on lease/rent/sale basis to the licensees of Telecom Services licensed under Section 4 of Indian Telegraph Act, 1885 on mutually agreed terms and conditions.

- 2.0 In no case the company shall work and operate or provide telegraph service including end to end bandwidth as defined in Indian Telegraph Act, 1885 either to any service provider or any other customer.
- 3.0 The company shall submit a copy of an Agreement entered into with the telecom service providers within 15 days of signing of such Agreement.
- 4.0 The company shall provide the said infrastructure in a non-discriminatory manner.
- 5.0 In the event of any question, dispute or difference arising under this Registration, or in connection thereof, except as to the matter, the decision of which is specifically provided elsewhere under this Registration, the same shall be referred to the sole Arbitrator appointed and nominated by the Director General Telecommunications or by whatever designation Director General Telecom may be called, hereinafter called the "ARBITRAL TRIBUNAL".
- 5.1 This Registration Certificate and any dispute thereof shall be governed by the substantive provisions of Indian law.
- 5.2 The venue of Arbitration shall be New Delhi or as may be fixed by the ARBITRAL TRIBUNAL anywhere in India.
- 5.3 The arbitration proceedings shall be conducted in accordance with the provisions of the Indian Arbitration and Conciliation Act, 1996 and rules framed there under or any modifications or re-enactment thereof made from time to time.

- 6.0 The Registered company can provide the infrastructure as stated above to any licensee of Telegraph services Licensed under section 4 of the Indian Telegraph Act 1885. The company shall, in no case, grant in any manner the infrastructure to any erstwhile Licensee whose licence is either terminated or suspended or not in operation at given point of time. In the event of any infrastructure allowed before hand, then the Registered company shall be obliged to withdraw the grant of infrastructure and to disconnect or sever connectivity immediately without loss of time and further, upon receipt of any reference from the Licensor in this regard, disconnection shall be made effective within an hour of receipt of such reference. On the question of disconnectivity the decision of the Director General Telecom shall be final.
- 7.0 The Registered company shall provide necessary facilities depending upon the specific situation at the relevant time to the Government to counteract espionage, subversive act, sabotage or any other unlawful activity.
- 7.1 The Registered company shall make available on demand to the agencies authorized by the Government of India, full access to the network for technical scrutiny and for inspection which can be visual inspection or any operational inspection.
- 7.2 All foreign personnel likely to be deployed by the Registered company for installation, operation and maintenance of the Registered company network shall be security cleared by the Government of India prior to their deployment. The security clearance will be obtained from the Ministry of Home Affairs, Government of India, who will follow standard norms in the matter.
- 7.3 The Registered company shall ensure protection of privacy of communication and ensure that unauthorized interception of messages does not take place.
- 7.4 The Government shall have the right to take over the equipment and networks of the Registered company or revoke/terminate/suspend the Registration of the company either in part or in whole as per directions if any, issued in the public interest by the Government in case of emergency or war or low intensity conflict or any other eventuality. Provided any specific orders or direction from the Government issued under such conditions shall be applicable to the Registered company and shall be strictly complied with. Further, the Government reserves the right to keep any area out of the operation zone of the service if implications of security so require.
- 7.5 Government reserves the right to modify these conditions or incorporate new conditions considered necessary in the interest of national security and public interest.
- 7.6 The Registered company will ensure that the Telecommunication installation carried out by it should not become a safety hazard and is or in contravention of any statue, rule or regulation and public policy.

- 7.7 In case it is decided to grant licence under section 4 of Indian Telegraph Act, 1885 to Infrastructure Provider Category-I (IP-I) instead of registration, at a later date, the said registration shall stand cancelled after the prescribed period as decided by the competent authority and the Infrastructure Provider (IP-I) shall have to apply for grant of specified licence/authorisation at that point of time as per terms and conditions applicable for such license/authorization.
- 8.0 Any breach of the above terms will lead to cancellation of the registration without any further notice.

UNDER SECRETARY / DIRECTOR(CS-III)

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